

9/30/2003

SHEET 1 OF 1

|  |    |   |         |                                    |                            |  |                               |
|--|----|---|---------|------------------------------------|----------------------------|--|-------------------------------|
| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |         | ATTY DOCKET NO.<br>243433US2SX     |                            | SERIAL NO.<br>New Application 10/673,247                         |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |   |         | APPLICANT<br>Koji YAMAKAWA, et al. |                            |  |                               |
|  |    |   |         | FILING DATE<br>Herewith            |                            | GROUP  |                               |
| U.S. PATENT DOCUMENTS  |    |   |         |                                    |                            |  |                               |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER  | DATE    | NAME                               | CLASS                      | SUB<br>CLASS   | FILING DATE<br>IF APPROPRIATE |
|  | AA |   |         |                                    |                            |  |                               |
|  | AB |   |         |                                    |                            |  |                               |
|  | AC |   |         |                                    |                            |  |                               |
|  | AD |   |         |                                    |                            |  |                               |
|  | AE |   |         |                                    |                            |  |                               |
|  | AF |   |         |                                    |                            |  |                               |
|  | AG |   |         |                                    |                            |  |                               |
|  | AH |   |         |                                    |                            |  |                               |
|  | AI |   |         |                                    |                            |  |                               |
|  | AJ |   |         |                                    |                            |  |                               |
|  | AK |   |         |                                    |                            |  |                               |
|  | AL |   |         |                                    |                            |  |                               |
|  | AM |   |         |                                    |                            |  |                               |
|  | AN |   |         |                                    |                            |  |                               |
| FOREIGN PATENT DOCUMENTS   |    |   |         |                                    |                            |  |                               |
|  |    | DOCUMENT<br>NUMBER  | DATE    | COUNTRY                            | TRANSLATION<br>YES NO      |  |                               |
| BT   | AO | 2002-289809   | 10/4/02 | Japan                              |                            |  | X                             |
|  | AP |   |         |                                    |                            |  |                               |
|  | AQ |   |         |                                    |                            |  |                               |
|  | AR |   |         |                                    |                            |  |                               |
|  | AS |   |         |                                    |                            |  |                               |
|  | AT |   |         |                                    |                            |  |                               |
|  | AU |   |         |                                    |                            |  |                               |
|  | AV |   |         |                                    |                            |  |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  |    |   |         |                                    |                            |  |                               |
| BT   | AW | Koji YAMAKAWA, et al., "CHARACTERISTICS OF PZT FILMS WITH SRO/PT STACK ELECTRODES FOR HIGH DENSITY MBIT FERAM DEVICES", Integrated Ferroelectrics, 2001, Vol. 39, Pages 31-40 |         |                                    |                            |  |                               |
|  | AX |   |         |                                    |                            |  |                               |
|  | AY |   |         |                                    |                            |  |                               |
|  | AZ |   |         |                                    |                            | <input type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner /Binh Tran/   |    |   |         |                                    | Date Considered 06/14/2006 |  |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |   |         |                                    |                            |  |                               |